

**Item 1      Cover Page**

# Sagent|Wealth Management

Part 2B of Form ADV: *Brochure Supplement*  
for  
Marshall G. Eichenauer, Jr.

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This brochure supplement provides information about Marshall G. Eichenauer, Jr. that supplements the Sagent Wealth Management Firm Brochure. You should have received a copy of that brochure. Please contact Sagent Wealth Management if you did not receive Sagent Wealth Management Firm Brochure or if you have any questions about the contents of this supplement.

Additional information about Marshall G. Eichenauer, Jr. is available at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## **Item 2 Educational Background and Business Experience**

Name: Marshall G. Eichenauer, Jr.

Age: Born February 1961

Education: Arizona State University  
Bachelor of Finance  
1983

University of Pennsylvania-The Wharton School  
Certified Investment Management Analyst  
2006

Completed the IMCA Certified Investment Management Analyst Program at the  
University of Pennsylvania—The Wharton School

### **Professional Experience**

Sagent Wealth Management  
Owner and Investment Advisor  
August 2008 to Present

UBS Financial Services, Inc. (and its predecessors)  
Senior Vice President-Investments  
December 1996 to August 2008

Smith Barney (and its predecessors)  
Financial Advisor  
February 1993 to December 1996

## **Item 3 Disciplinary Information**

On September 22, 2017, Marshall Eichenauer, Jr. and Sagent Wealth Management agreed to settle a matter in which the SEC alleged that they violated the Advisers Act, by failing to disclose Mr. Eichenauer's conflict of interest or obtain investor's consent, prior to causing the Sagent Private Investment Fund to make loans to Sagent Wealth Management.

As described in the settlement, Eichenauer and Sagent do not admit to these allegations, nor do they deny them.

A complete copy of the Settlement will be made available upon request.

#### **Item 4      Other Business Activities**

Mr. Eichenauer holds a Real Estate Brokers License with the California Bureau of Real Estate and Life-Only and Accident and Health licenses with the California Department of Insurance.

#### **Item 5      Additional Compensation**

It is anticipated that Mr. Eichenauer's primary compensation will come from the Investment Advisory services he performs for Sagent Wealth Management and that the overwhelming majority of his time will be spent on these activities. However, Mr. Eichenauer may also devote a portion of his time to and receive compensation from his activities as a California Life Insurance Agent and a California Real Estate Broker.

In some cases, clients of Sagent Wealth Management may wish to retain Mr. Eichenauer to assist them with the purchase of Life Insurance and or to act as a broker in a real estate transaction, although they have no obligation to do so. In these cases, Mr. Eichenauer may receive compensation for these services in addition to the compensation that he receives from providing Investment Advisory services for Sagent Wealth Management. Before receiving compensation for Insurance or Real Estate services, clients of Sagent Wealth Management will be notified in writing and will be asked to acknowledge such notification in writing.

#### **Item 6      Supervision**

As the Owner and Managing Partner of Sagent Wealth Management, is responsible for his own supervision.